



# Alps Industries Limited

(A Government Recognised Trading House)  
An ISO 14001 & ISO 9001 Company

Regd./Corp. Off. : 57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad-201010 INDIA  
Phones : 91-120-4161700 (30 Lines) Fax : 91-120-2896041, 2895299  
Email : info@alpsindustries.com Web. : www.alpsindustries.com  
CIN No. : L51109UP1972PLC003544

1. Name of Listed Entity : ALPS INDUSTRIES LIMITED (L51109UP1972PLC003544)
2. Scrip Code/Name of Scrip/Class of Security : BSE - 530715, NSE - ALPSINDUS EQ.
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : MARCH 31, 2016
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment - N.A.

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

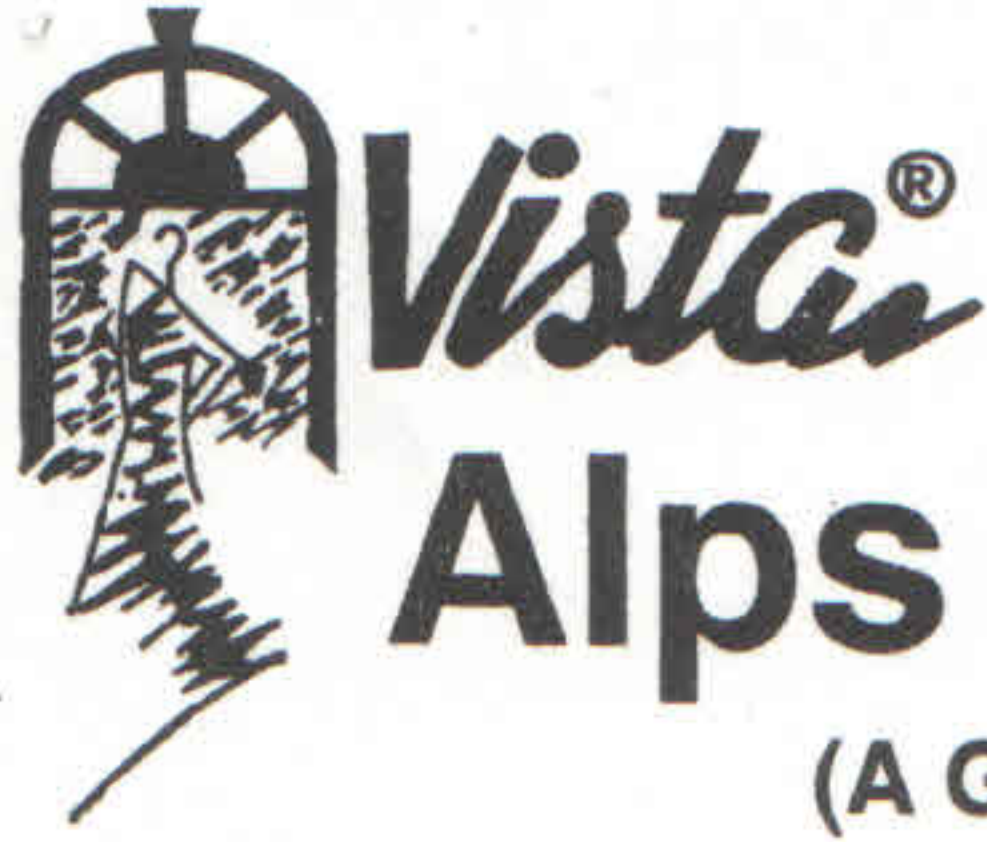
| Particulars  | Promoter & Public | Non Promoter | Non Public |
|--|-------------------|--------------|------------|
|  | Yes/No            | Yes/No       | Yes/No     |
| Whether the Listed Entity has issued any partly paid up shares?                        | No                | No           | No         |
| Whether the Listed Entity has issued any Convertible Securities or Warrants?           | No                | No           | No         |
| Whether the Listed Entity has any shares against which depository receipts are issued? | No                | No           | No         |
| Whether the Listed Entity has any shares in locked-in?                                 | No                | No           | No         |
| Whether any shares held by promoters are pledge or otherwise encumbered?               | Yes               | NA           | NA         |
| Whether the Listed Entity has issued any Differential Voting Rights?                   | No                | No           | No         |

For Alps Industries Limited

  
AJAY GUPTA

(Company Secretary &  
General Manager-Legal)





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4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

|   | Particulars  | YES* | NO* |
|---|--|------|-----|
| a | Whether the Listed Entity has issued any partly paid up shares                         | -    | No  |
| b | Whether the Listed Entity has issued any Convertible Securities or Warrants?           | -    | No  |
| c | Whether the Listed Entity has any shares against which depository receipts are issued? | -    | No  |
| d | Whether the Listed Entity has any shares in locked-in?                                 | -    | No  |
| e | Whether any shares held by promoters are pledge or otherwise encumbered?               | YES  | -   |

For Alps Industries Limited


  
AJAY GUPTA  
(Company Secretary &  
General Manager-Legal)







Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category and name of shareholder            | PAN        | Nos. of sharehold-ers | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities |            |         | Total as a % of Total Voting Rights | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2) | Number of Locked in shares |         | Number of Shares pledged or otherwise encumbered |         | Number of equity shares held in dematerialized form |
|---|------------|-----------------------|---|--|--|------------------------|--|--|------------|---------|-------------------------------------|--|---|----------------------------|---------|--|---------|---|
|   |            |                       |   |  |  |                        |  | Class eg: X  | Class eg:y | Total   |                                     |  |   | (a)                        | (b)     | (a)  | (b)     |   |
| (i) Indian                                  |            |                       |   |  |  |                        |  | No of Voting Rights                                      |            |         |                                     |  |   |                            |         |  |         |   |
| a Individuals/Hindu undivided Family        |            |                       |   |  |  |                        |  |  |            |         |                                     |  |   |                            |         |  |         |   |
| K. K. AGARWAL (HUF)                         | AABHK7991K | 8                     | 2906028                                 | 0  | 0  | 2906028                | 7.43   | 2906028  | 0          | 2906028 | 7.43                                | 0  | 0   | 0                          | 0       | 2406028  | 82.79   | 2906028   |
| SANDEEP AGARWAL                             | AADHS9672P |                       | 117000                                  | 0  | 0  | 117000                 | 0.3  | 117000   | 0          | 117000  | 0.3                                 | 0  | 0   | 0                          | 67000   | 57.26  | 117000  |   |
| NIDHI AGARWAL                               | AAKPA2949J |                       | 94380                                   | 0  | 0  | 94380                  | 0.24   | 94380  | 0          | 94380   | 0.24                                | 0  | 0   | 0                          | 44380   | 47.02  | 94380   |   |
| K K AGARWAL                                 | AAPPA5044B |                       | 216100                                  | 0  | 0  | 216100                 | 0.55   | 216100   | 0          | 216100  | 0.55                                | 0  | 0   | 0                          | 16100   | 7.45   | 216100  |   |
| SANDEEP AGARWAL                             | ACEPA4737G |                       | 1147460                                 | 0  | 0  | 1147460                | 2.93   | 1147460  | 0          | 1147460 | 2.93                                | 0  | 0   | 0                          | 1147460 | 100  | 1147460 |   |
| SANYOG AGARWAL                              | ACGPA7178K |                       | 651510                                  | 0  | 0  | 651510                 | 1.67   | 651510   | 0          | 651510  | 1.67                                | 0  | 0   | 0                          | 651510  | 100  | 651510  |   |
| ROHAN AGARWAL                               | AUUPA3170F |                       | 248650                                  | 0  | 0  | 248650                 | 0.64   | 248650   | 0          | 248650  | 0.64                                | 0  | 0   | 0                          | 248650  | 100  | 248650  |   |
| SUNANDINI AGARWAL                           | AUUPA3196R |                       | 430128                                  | 0  | 0  | 430128                 | 1.1  | 430128   | 0          | 430128  | 1.1                                 | 0  | 0   | 0                          | 230128  | 53.5   | 430128  |   |
| b Central Government/ State Government(s)   |            |                       |   |  |  |                        |  |  |            |         |                                     |  |   |                            |         |  |         |   |
| c Financial Institutions/ Banks             |            |                       |   |  |  |                        |  |  |            |         |                                     |  |   |                            |         |  |         |   |
| d Any Other (specify)                       |            | 11                    | 7679990                                 | 0  | 0  | 7679990                | 19.63  | 7679990  | 0          | 7679990 | 19.63                               | 0  | 0   | 0                          | 0       | 5179990  | 67.45   | 7679990   |
| BODY CORPORATE                              |            |                       |   |  |  |                        |  |  |            |         |                                     |  |   |                            |         |  |         |   |
| CORONATION SPINNING INDIA PVT LTD           | AAACG8311R |                       | 900000                                  | 0  | 0  | 900000                 | 2.3  | 900000   | 0          | 900000  | 2.3                                 | 0  | 0   | 0                          | 300000  | 33.33  | 900000  |   |
| CAREEN FINTEC (P) LTD                       | AAACG8312N |                       | 295000                                  | 0  | 0  | 295000                 | 0.75   | 295000   | 0          | 295000  | 0.75                                | 0  | 0   | 0                          | 295000  | 100  | 295000  |   |
| PADAM PRECISION DIES AND COMPONENTS PVT LTD | AAACP4217H |                       | 1688200                                 | 0  | 0  | 1688200                | 4.32   | 1688200  | 0          | 1688200 | 4.32                                | 0  | 0   | 0                          | 188200  | 11.15  | 1688200 |   |
| PREFECT FINMEN SERVICES (P) LTD             | AAACP8958R |                       | 412800                                  | 0  | 0  | 412800                 | 1.06   | 412800   | 0          | 412800  | 1.06                                | 0  | 0   | 0                          | 262800  | 63.66  | 412800  |   |
| PEEK FINVEST (P) LTD                        | AAACP8959Q |                       | 342082                                  | 0  | 0  | 342082                 | 0.87   | 342082   | 0          | 342082  | 0.87                                | 0  | 0   | 0                          | 342082  | 100  | 342082  |   |
| ROSEAT FINVEST (P) LTD                      | AAACR7175G |                       | 382000                                  | 0  | 0  | 382000                 | 0.98   | 382000   | 0          | 382000  | 0.98                                | 0  | 0   | 0                          | 382000  | 100  | 382000  |   |
| PEEK TEXFAB LTD                             | AABCA6857F |                       | 100120                                  | 0  | 0  | 100120                 | 0.26   | 100120   | 0          | 100120  | 0.26                                | 0  | 0   | 0                          | 382000  | 100  | 382000  |   |
| PACIFIC TEXMARK PRIVATE LIMITED             | AABCA7511N |                       | 2835872                                 | 0  | 0  | 2835872                | 7.25   | 2835872  | 0          | 2835872 | 7.25                                | 0  | 0   | 0                          | 120     | 0.12   | 100120  |   |
| ALPS PROCESSERS PRIVATE LIMITED             | AABCA7512R |                       | 250116                                  | 0  | 0  | 250116                 | 0.64   | 250116   | 0          | 250116  | 0.64                                | 0  | 0   | 0                          | 2835872 | 100  | 2835872 |   |
| SUPREME FINVEST (P) LTD                     | AACCS0471N |                       | 290000                                  | 0  | 0  | 290000                 | 0.74   | 290000   | 0          | 290000  | 0.74                                | 0  | 0   | 0                          | 250116  | 100  | 250116  |   |





| SAURABH FLORICULTURE  |   | AACCS6111R |          | 183800 |   | 0        |       | 0        |   | 183800   |       | 0.47 |   | 183800 |   | 0.47 |   | 183800 |   | 0.47 |   | 0 |   | 0.47 |   | 0 |   | 0 |   | 183800 |   | 100 |   | 183800 |   |
|---|---|------------|----------|--------|---|----------|-------|----------|---|----------|-------|------|---|--------|---|------|---|--------|---|------|---|---|---|------|---|---|---|---|---|--------|---|-----|---|--------|---|
| (P) LTD   | Sub-Total (A)(1)  | 19         | 10586018 | 0      | 0 | 10586018 | 27.06 | 10586018 | 0 | 10586018 | 27.06 | 0    | 0 | 27.06  | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 |        |   |
| 2   | Foreign   | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 |        |   |
| a   | Individuals (Non-Resident Individuals/ Foreign Individuals) | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 |        |   |
| b   | Government  | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 | 0      |   |
| c   | Institutions  | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 | 0      |   |
| d   | Foreign Portfolio Investor                                  | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 | 0      |   |
| e   | Any Other (specify)   | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 | 0      |   |
| Sub-Total (A)(2)  |   | 0          | 0        | 0      | 0 | 0        | 0     | 0        | 0 | 0        | 0     | 0    | 0 | 0      | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 | 0      |   |
| Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) |   | 19         | 10586018 | 0      | 0 | 10586018 | 27.06 | 10586018 | 0 | 10586018 | 27.06 | 0    | 0 | 27.06  | 0 | 0    | 0 | 0      | 0 | 0    | 0 | 0 | 0 | 0    | 0 | 0 | 0 | 0 | 0 | 0      | 0 | 0   | 0 | 0      | 0 |









Table III - Statement showing shareholding pattern of the Public shareholder

| Category and name of shareholder       | PAN        | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities |              |        | Total as a % of Total Voting Rights | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2) | Number of Locked in shares |       | Number of Shares pledged or otherwise encumbered |        | Number of equity shares held in dematerialized form |
|--|------------|----------------------|---|--|--|--------------------------------------|--|--|--------------|--------|-------------------------------------|--|---|----------------------------|-------|--|--------|---|
|  |            |                      |   |  |  |                                      |  | Class egr. X   | Class egr. y | Total  |                                     |  |   | (a)                        | (b)   | (a)  | (b)    |   |
| (I)                                    | (II)       | (III)                | (IV)                                    | (V)                                      | (VI)   | (VII) = (IV)+(V)+(VI)                | (VIII)   | (IX)   |              |        | (X)                                 | (XI)   | (XII)   | (XIII)                     | (XIV) |  |        |   |
| 1 Institutions                         |            |                      |   |  |  |                                      |  | No of Voting Rights                                      |              |        |                                     |  |   |                            |       |  |        |   |
| a Mutual Funds/                        |            | 3                    | 300                                     | 0  | 0  | 300                                  | 0.001  | Class egr. X   | Class egr. y | Total  |                                     | 0.001  | (a)   | (b)                        | (a)   | (b)  | 100    |   |
| b Venture Capital Funds                |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| c Alternate Investment Funds           |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| d Foreign Venture Capital Investors    |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| e Foreign Portfolio Investors          |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| f Financial Institutions/ Banks        |            | 2                    | 500048                                  | 0  | 0  | 500048                               | 1.28   |  |              |        |                                     | 1.28   | 0   | 0                          | 0     | 0  | 500048 |   |
| GENERAL INSURANCE CORPORATION OF INDIA | AAACG0615N | 1                    | 500048                                  | 0  | 0  | 500048                               | 1.28   | 500048   | 0            | 500048 | 1.28                                | 1.28   | 0   | 0                          | 0     | 0  | 500048 |   |
| g Insurance Companies                  |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| h Provident Funds/ Pension Funds       |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| i Any Other (specify)                  |            | 0                    | 0                                       | 0  | 0  | 0                                    | 0  |  |              |        |                                     | 0  | 0   | 0                          | 0     | 0  | 0      |   |
| Sub-Total (B)(1)                       |            | 3                    | 500348                                  | 0  | 0  | 500348                               | 1.28   | 500348   | 0            | 500348 | 1.28                                | 1.28   | 0   | 0                          | 0     | 0  | 500148 |   |

















Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| Category and name of shareholder | PAN  | Nos. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | Total as a % of Total Voting Rights | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2) | Number of Locked in shares | As a % of total Shares held | Number of Shares pledged or otherwise encumbered | As a % of total Shares held | Number of equity shares held in dematerialized form |
|----------------------------------|--|----------------------|---|--|--|------------------------|--|--|-------------------------------------|--|---|----------------------------|-----------------------------|--|-----------------------------|---|
| (I)                              | (II)   | (III)                | (IV)                                    | (V)                                      | (VI)   | (VII) = (IV)+(V)+ (VI) | (VIII)   | (IX)   | (X)                                 | (XI)   | (XII)   | (XIII)                     | (XIV)                       |  |                             |   |
| 1                                | Custodian/<br>DR Holder  | 0                    | 0                                       | 0  | 0  | 0                      | 0  | Class eg: X<br>0   | 0                                   | 0  | 0   | (a)<br>0                   | (b)<br>0                    | (a)<br>0   | (b)<br>0                    | 0   |
| 2                                | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | 0                    | 0                                       | 0  | 0  | 0                      | 0  | 0  | 0                                   | 0  | 0   | 0                          | 0                           | 0  | 0                           | 0   |
| a                                | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)                       | 0                    | 0                                       | 0  | 0  | 0                      | 0  | 0  | 0                                   | 0  | 0   | 0                          | 0                           | 0  | 0                           | 0   |

